



Establishing of the controlling person of operating legal entities and partnerships both not quoted on the stock exchange

(For operating legal entities and partnerships that are contracting partner as well as analogously for operating legal entities and partnerships that are beneficial owners)

Account/securities account number: _____ Contracting partner: _____

Category (where appropriate): _____ Address: _____
_____ Postal code: _____
_____ City: _____
_____ Country: _____

Pursuant to Article 20 of the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence (COB 20), the contracting partner(s) hereby declare(s) (tick the appropriate box):

- the person(s) listed below is/ are **holding 25 % or more of the contracting partner's shares (capital shares or voting rights)**; or
- if there are no capital shares or voting rights of 25 % or more, that the following person(s) listed below **is/ are controlling the contracting partner in other ways**; or
- in case no person(s) exist(s) who exercise(s) control over the contracting partner in a different capacity, the contracting partner hereby declares that the person(s) listed below is/ are the **managing director(s)**.

First name(s), last name(s)/entity: _____

Actual address of domicile/registered office (incl. country): _____

Fiduciary holding of assets

Is a third person the beneficial owner of the assets booked under the above relationship?

- No
- Yes. -> The relevant information regarding the beneficial owner has to be obtained by filling in a separate form A, S or T.

The contracting partner(s) hereby undertake(s) to automatically inform the bank of any changes.

Date:	Signature:

It is a criminal offence to deliberately provide false information on this form (Article 251 of the Swiss Criminal Code, document forgery).

